# Hainiu Xu

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#### **EDUCATION**

King's College London

PhD in Computer Science

- Supervisors: Professor Yulan He, Professor Caroline Catmur, Dr. Jinhua Du
- Studentship: EPSRC iCASE jointly funded by UKRI, Huawei London Research Centre, King's College London
- Project: "Character-Centric Narrative Understanding"

#### University of Pennsylvania

M.S.E in Data Science

- Outstanding Research Award
- Best Thesis Runner-up Award
- Main course modules: Machine Learning; Deep Learning; Computational Linguistics; Principles of Deep Learning, Advanced Computational Linguistics
- GPA: 4.00 / 4.00

#### University of California, Davis

B.S. in Statistics with Highest Honor (Statistical Data Science Track) Se

- Highest Honor (Summa Cum Laude)
- Honor's Thesis: "Application of Curve Registration Methods on Analyzing Wearable Device Data."
- Supervisor: Professor Jane-Ling Wang
- Major GPA: 3.94 / 4.00 (overall GPA: 3.84 / 4.00)

### PUBLICATIONS

[9] Jiazheng Li, Hainiu Xu, Zhaoyue Sun, Yuxiang Zhou, David West, Cesare Aloisi, Yulan He "Calibrating LLMs with Preference Optimization on Thought Trees for Generating Rationale in Science Question Scoring" (Findings of EMNLP2024)

[8] Hainiu Xu, Runcong Zhao, Lixing Zhu, Jinhua Du, Yulan He

"OpenToM : A Comprehensive Benchmark for Evaluating Theory-of-Mind Reasoning Capabilities of Large Language Models" (ACL2024)

[7] Xinyu Wang, Hainiu Xu, Lin Gui, Yulan He

"Towards Unified Task Embeddings Across Multiple Models: Bridging the Gap for Prompt-Based Large Language Models and Beyond" (Findings of ACL2024)

[6] Runcong Zhao\*, Qinglin Zhu\*, Hainiu Xu, Jiazheng Li, Yuxiang Zhou, Yulan He, Lin Gui "Large Language Models Fall Short: Understanding Complex Relationships in Detective Narratives" (Findings of ACL2024)

[5] Liam Dugan, Alyssa Hwang, Filip Trhlík, Josh Magnus Ludan, Andrew Zhu, **Hainiu Xu**, Daphne Ippolito, Chris Callison-Burch

"RAID: A Shared Benchmark for Robust Evaluation of Machine-Generated Text Detectors" (ACL2024)

Philadelphia, PA Aug. 2021- May 2023

Davis, CA Sept. 2016 - Jun. 2020

London, UK Oct. 2023 - June 2027 (Expected)

[4] Li Zhang, Hainiu Xu, Abhinav Kommula, Niket Tandon, Chris Callison-Burch "OpenPI2.0: An Improved Dataset for Entity Tracking in Texts" (EACL2024)

[3] Li Zhang\*, Liam Dugan\*, Hainiu Xu\*, Chris Callison-burch (\*equal contribution) *"Exploring the Curious Case of Code Prompts"* (NLRSE Workshop, ACL2023)

[2] Li Zhang\*, Hainiu Xu\*, Yue Yang, Shuyan Zhou, Manni Arora, Weiqiu You, Chris Callison-Burch (\*equal contribution)
 "Entime Transline with Metric Length Proceedings of FACL 2022)

"Entity Tracking with Multi-hop Reasoning in Procedural Texts" (Findings of EACL2023)

[1] Tianyi Zhang, Isaac Tham, Zhaoyi Hou, Jiaxuan Ren, Leon Zhou, Hainiu Xu, Li Zhang, Lara Martin, Rotem Dror, Sha Li, Heng Ji, Martha Palmer, Susan Windisch Brown, Reece Suchocki, Chris Callison-Burch

"Human-in-the-loop Schema Induction" (ACL2023, System Demonstration)

#### THESIS

[1] "Fine-grained AND Coarse-grained Causal Reasoning in Procedural Texts", Master's Thesis, University of Pennsylvania, [LINK]

[2] "Application of Curve Registration Methods on Analyzing Wearable Device Data.", Undergraduate Thesis, University of California-Davis, [LINK]

#### **RESEARCH EXPERIENCE**

EPSRC-iCASE: Character-Centric Narrative Understanding	London, UK	
UKRI, Huawei London Research Centre, King's College London		
Supervisors: Prof. Yulan He, Prof. Caroline Catmur, Dr. Jinhua Du	Oct. 2023 -	
• Develop Theory-of-Mind Reasoning benchmarks for LLMs.		
· Construct euro-symbolic framework for enhancing LLMs' Theory-of-Mind	d reasoning capabilities.	
• Investigate application of Theory-of-Mind reasoning in cognitive reapprais	sal.	
Research Assistant: Procedural Reasoning	Philadelphia PA	
University of Pennsylvania PennNIP	1 <i>miaacipnia</i> , 171	
Supervisor: Prof Chris Callison-Burch	May 2022 - Sent 2023	
• Investigated methods for decomposing compound questions for procedural reasoning tasks under a		
few-shot setting.		
• Conducted template-based question decomposition using GPT3 and T5.		
• Created a metric for evaluating decomposition results for procedural reasoning tasks.		
• Conducted image synthesis using procedural instructions with DALL·E-mini and CLIP.		
• Investigated methods for composing logical relationship between multi-hop questions and		
corresponding zero-hop premises.	1	
• Conducted error analysis on SOTA models in entity state tracking.		
• Wrote conference paper as co-first author.		
Independent Research: Visual-Guided Procedure Generation	Philadelphia, PA	
University of Pennsylvania, PennNLP		
Supervisor: Prof. Chris Callison-Burch	May. 2022 - May. 2023	
• Built Crawler to gather parallel procedural data that contain texts and images.		
<ul> <li>Investigated methods for goal inference based on the sequence of images.</li> </ul>		
<ul> <li>Investigated step-generation based on activity goal and semantics from images.</li> </ul>		

Oniversity of 1 emisylvania, C15550 Computational Einguistics	
Instructor: Prof. Mark Yatskar	Aug. 2022 - Jan. 2023
Manage Piazza (course online QA platform)	
Make weekly quizzes	

University of Pennsylvania, CIS522 Deep Learning Instructors: Prof. Lyle Unger, Prof. Konrad Kording Give weekly lectures Prepare lecture slides and tutorial solutions

**Teaching Assistant** 

WORK EXPERIENCE

**AI Engineer Intern** 

Schlumberger BGC

Supervisors: Dr. Ping Zhang, Dr. Qing Liu, Dr. Peng Jin

• Conducted text mining on drilling reports written in Chinese.

- Conducted fine-tuning on Chinese word vectors for the oil and gas industry.
- Studied the behaviour of fine-tuning algorithms under a small-sample fine-tuning setting.
- Conducted a comprehensive evaluation of the performance of state-of-the-art language models

(BERT, ALBERT, ELECTRA, etc.) on representing words from drilling reports.

- Built mono-lingual and multi-lingual classification models for classifying Chinese drilling reports.
- Deployed the classification model as a web application using Flask.

## **TEACHING**

Mentor course projects Grade assignments and course projects **Teaching Assistant** Philadelphia, PA University of Pennsylvania CIS530 Computational Linguistics 3 • Hold office hours Grade homework Mentor course projects Davis, CA Tutor UC Davis: Academic Assistant and Tutoring Center

UC Davis, Department of Statistics Supervisor: Professor Jane-Ling Wang.

• Applied various time warping algorithms to functional data collected from wearable devices.

• Wrote a comprehensive literature review for the major warping methods.

• Compared time warping methods for wearable device data.

Honor's Thesis: Curve Registration on Wearable Device Data

• Measured the effectiveness of warping methods with functional principal component analysis results on thewearable device data.

#### Research Training Group (RTG) Project: Analysis of Wearable Device Data Davis. CA

UC Davis Department of Statistics, National Science Foundation (NSF)

Supervisor: Professor Jane-Ling Wang • Studied theories of functional data analysis including smoothing, clustering, and functional

principal componentanalysis.

• Conducted exploratory functional data analysis with wearable device data.

• Extracted user activity patterns using functional principal component analysis.

Beijing. China

Sept. 2020 - May. 2021

Philadelphia, PA

Jan. 2023 - May 2023

Dec. 2018 - Jun. 2019

Jun. 2019 - Jun. 2020

Sep. 2018 - Dec. 2018

• Making interactive questions regarding the material and making up example questions.

• Provided individual tutoring for peers from STA 131A: Introduction to Probability Theory.

## EXTRACURRICULAR ACTIVITIES

<ul><li>UC Davis Symphony Orchestra Clarinet</li><li>Rehearsed and performed in numerous concerts at the Mondavi Centre.</li></ul>	Davis, CA Jan.2018 - Jun. 2020
• Performed as the principal clarinet in various concerts.	
UC Davis University Concert Band	Davis, CA
Principal Clarinet	Jun. 2016 - Dec. 2018
• Rehearsed and performed in numerous concerts at the Mondavi Centre.	
• Led the clarinet section rehearsal.	
UC Davis Chamber Music– Clarinet Trio	Davis, CA
Principal Clarinet	Jan. 2019 - Mar. 2019
• Rehearsed and performed in numerous concerts at the Pizter Centre.	
• Conducted and directed the rehearsal of the chamber music group.	
SKILLS & INTERESTS	

Language: Fluent in Mandarin and English (GRE: 329 (Verbal Reasoning: 161, Quantitative Reasoning: 168))

**Programming Languages:** Python, R, C, MATLAB, Bash **Machine Learning Libraries:** PyTorch, Huggingface Transformers, Numpy, Scikit-Learn, HyperOpt